

FIG. 1

Client Module
122

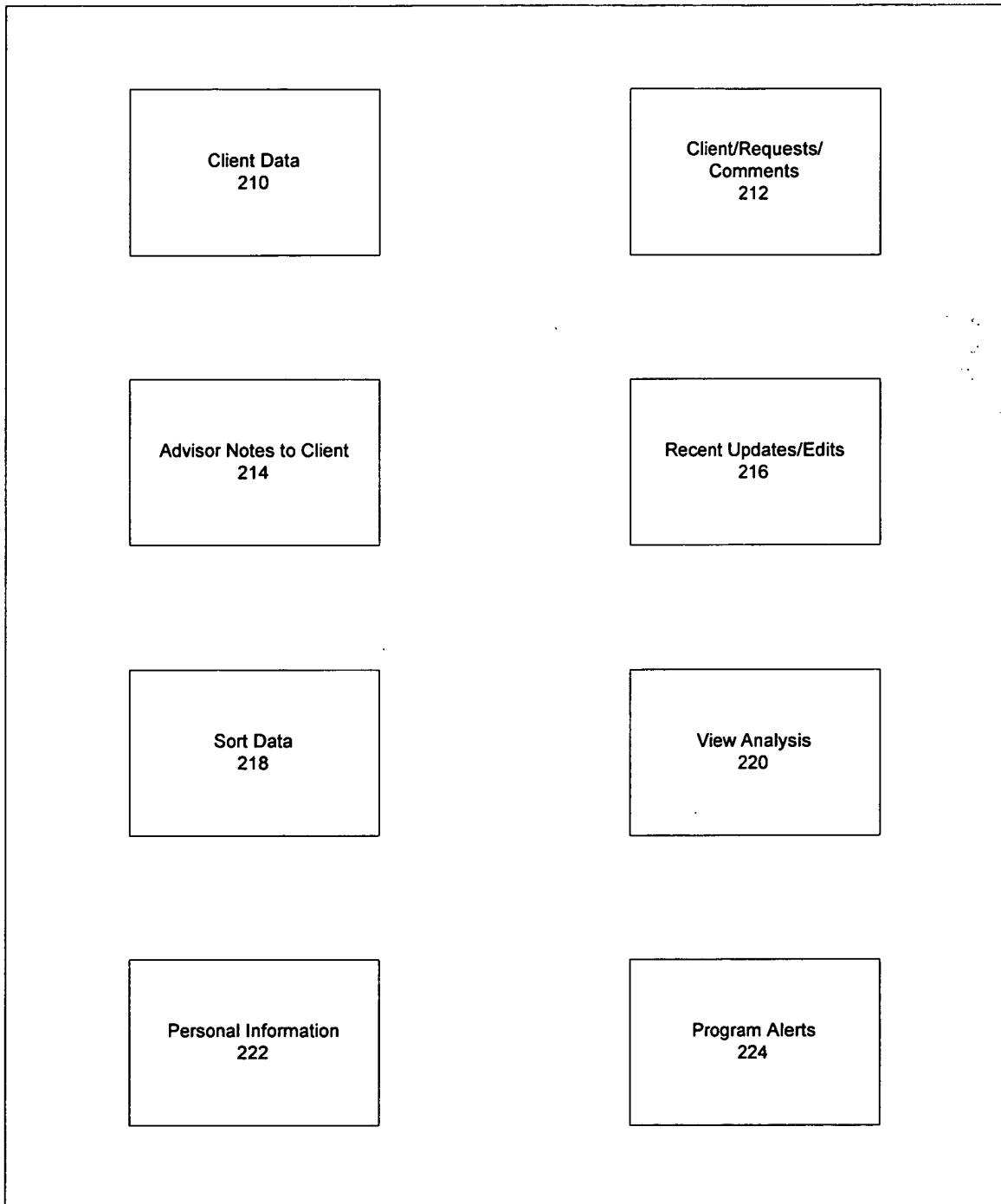


FIG. 2

109050-92672860

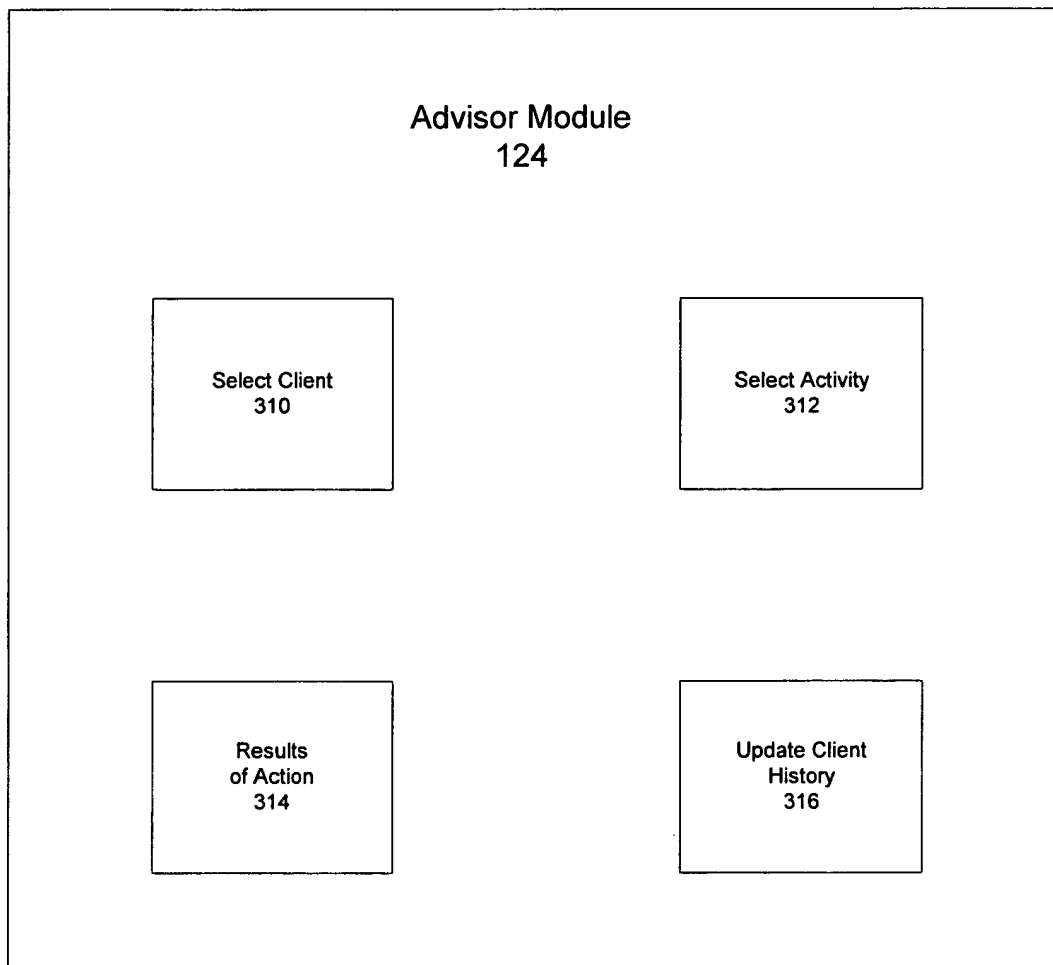
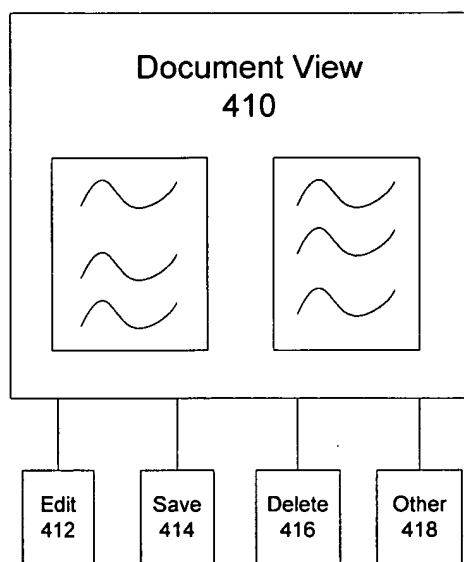


FIG. 3

Collaborative Module
126



Advisor Input
420

Posting/Bulletin
Board
422

Update
Information
424

Participants
430

Client Data
432

Client Requests/
Comments
434

Real Time Chat
436

Client History
438

FIG. 4

109060-926/9350

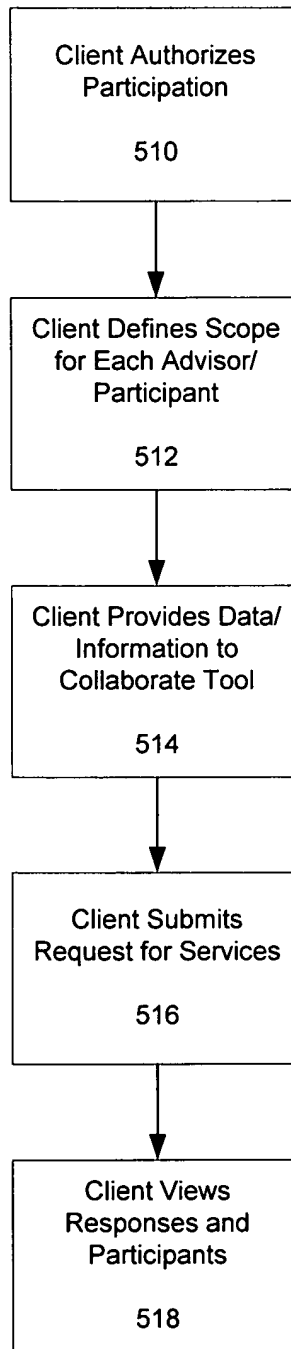


FIG. 5

0967926-090601

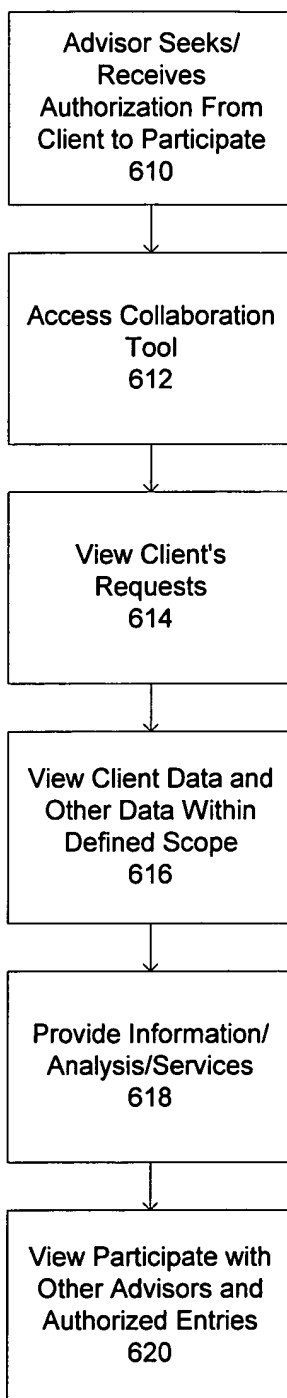


FIG. 6

105060-9267360

Sign Up Request

Please Fill in the following (all are required). When you are done, press "Next" to continue.

☒ I am an **advisor** and would like to join the next generation of financial advisors
☐ I am a **client** and would like to build my own powerful financial advisory team.

Personal Information about yourself:

	First Name
	Last Name
	Address
	City
	State
	Zip
	Phone Number
	Email Id

Next 704

Done Internet

702

FIG. 7

Adviser Module 122

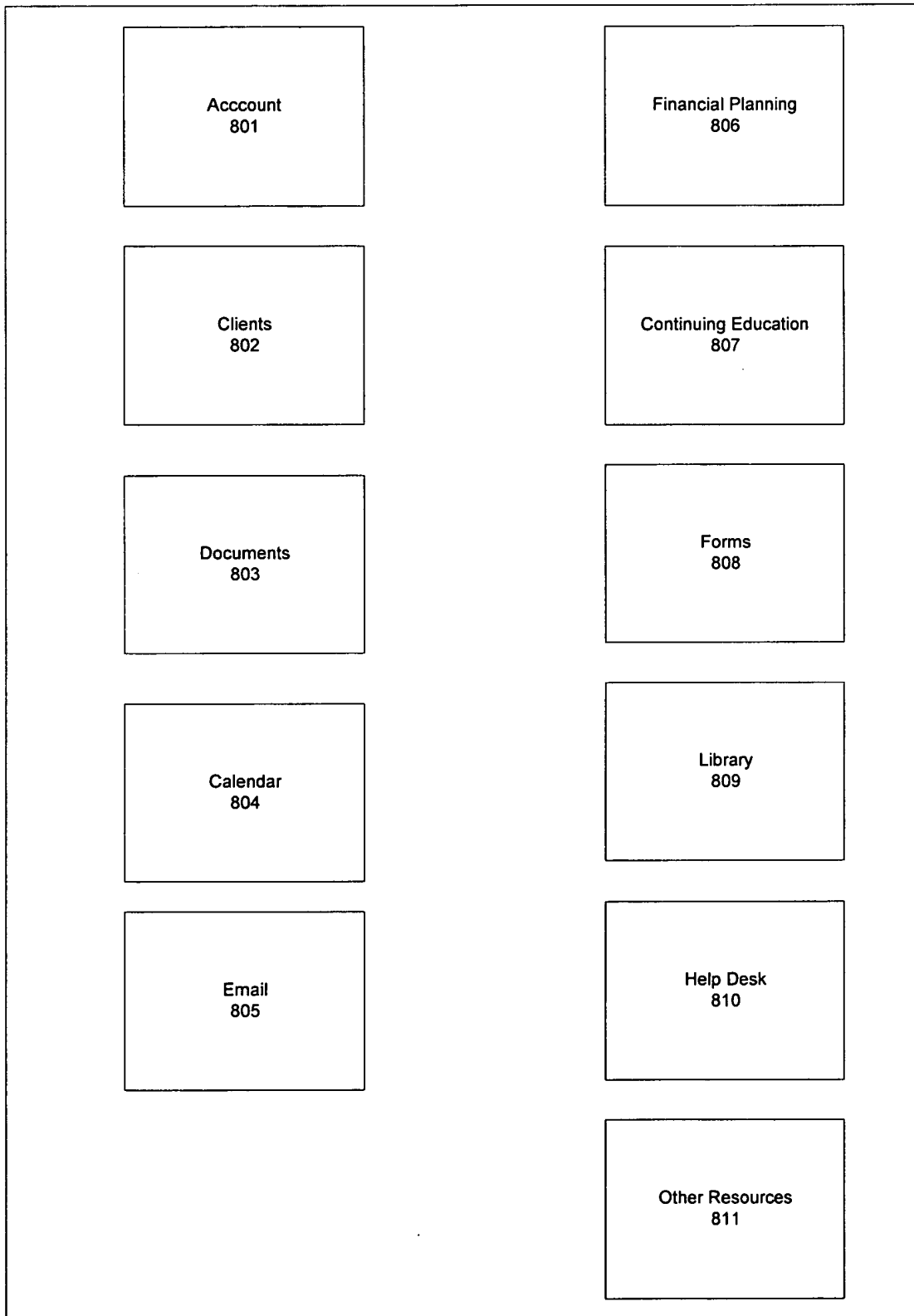


FIG. 8

109060-9267860

Client Module 120

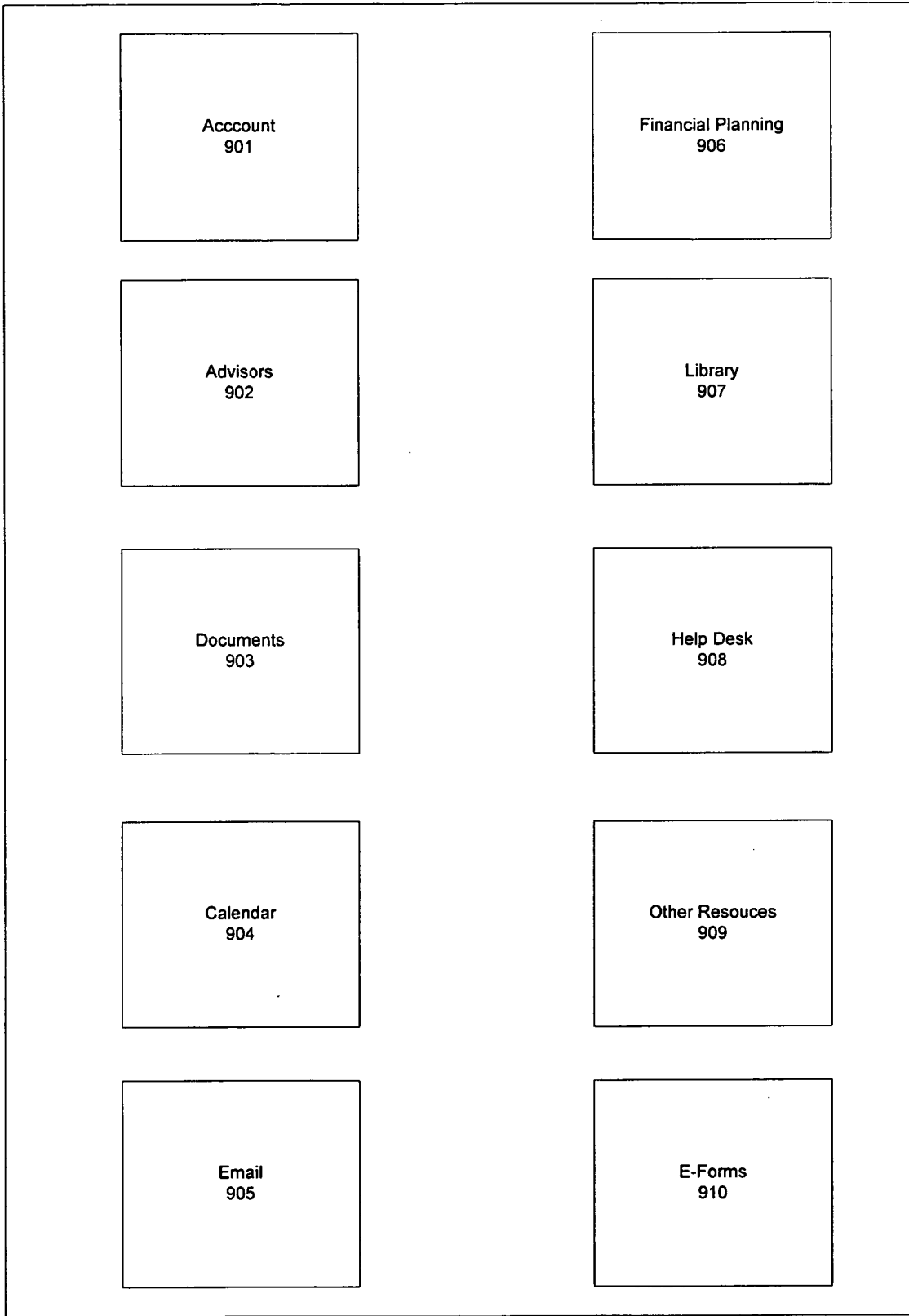


FIG. 9

105060-926/860

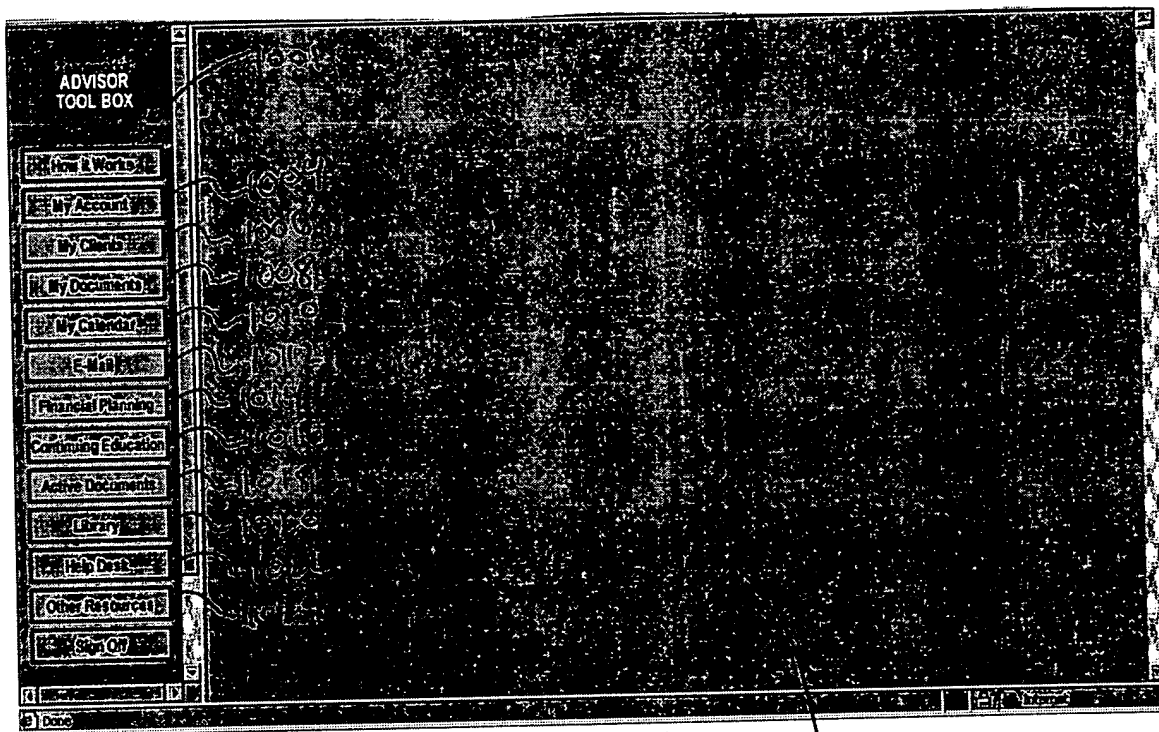


FIG. 10

1040

109060-9267260

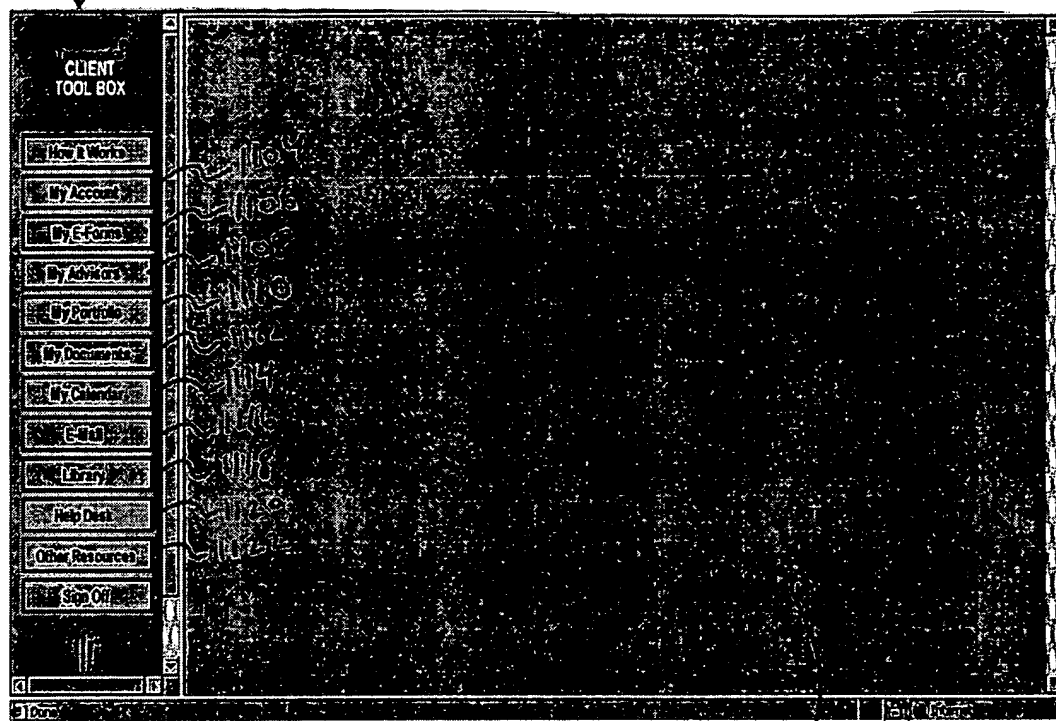


FIG. 11

105050-92672960

ADVISOR
DOT BOX

How it Works
My Account
My Clients
My Documents
My Calendar
E-Mail
Financial Planning
Continuing Education
Active Documents
Library
Help Desk
Other Resources
Sign Off

1201 1202 1203 1250

My Clients

1200

Select	Login ID	Name	Access	Registered On	Last Signed On
<input type="checkbox"/>	1234	Suzanne Cuccurullo	R	5/1/2001 1:31:21 PM	5/1/2001 1:40:25 PM
<input type="checkbox"/>	mkende	Michael Kende	RWD	3/13/2001 6:04:13 PM	
<input type="checkbox"/>	rmac	Robert MacDonald	RWD	3/13/2001 6:02:05 PM	
<input type="checkbox"/>	pmrobb	Paul Robb	R	2/13/2001 5:19:10 AM	5/15/2001 11:28:42 AM
<input type="checkbox"/>	brsen67	Brian S Rosenbloom	R	5/18/2001 11:14:03 AM	5/19/2001 9:03:47 PM

1212 1213 1214 1215 1216 1217

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Internet

FIG. 12

10987426-090601

1300

Registration Information (Fields marked with * are required)

*Name (first, middle, last)

*Email Address

Login Information

*Login ID

*Password

*Re-enter Password

Billing Information

The charges for this client (5\$/mo.) will be added to your bill.

Discount

☐ I would like to pay by the month (at the price shown above).

☐ I would like to receive a 20% discount by paying for a full year.

1304

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Done

Internet

FIG. 13

105060-9267860

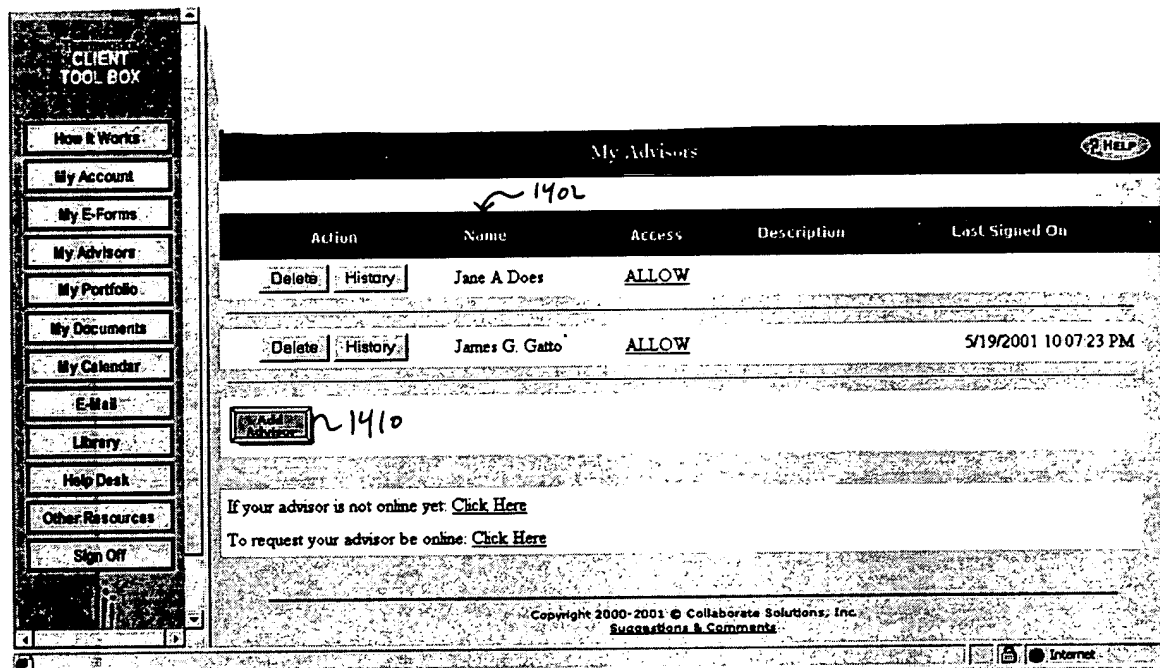


FIG. 14

Name Search:

1502

Adviser's Name

Specialty (select one or more optional)

1503

☐

Attorney

☐

Accountant

.

.

.

☐

Financial Planner

State (optional)

City (optional)

1504

Search

1506

Specialty Search

Specialty (select one or more)

1507

☐

Attorney

☐

Accountant

.

.

.

☐

Financial Planner

State (optional)

City (optional)

1508

Search

1511




1509

FIG. 15

109060-925/2850

1600



Name	Specialty	State	City	Description	Action
John Doe	Attorney	DC	Washington	AV Rated	 1602
Jane Does	Accountant	DC	Washington	CPA	 1602
John Doe	Financial Planner	DC	Washington		 1602

Done

FIG. 16

109050-9267960

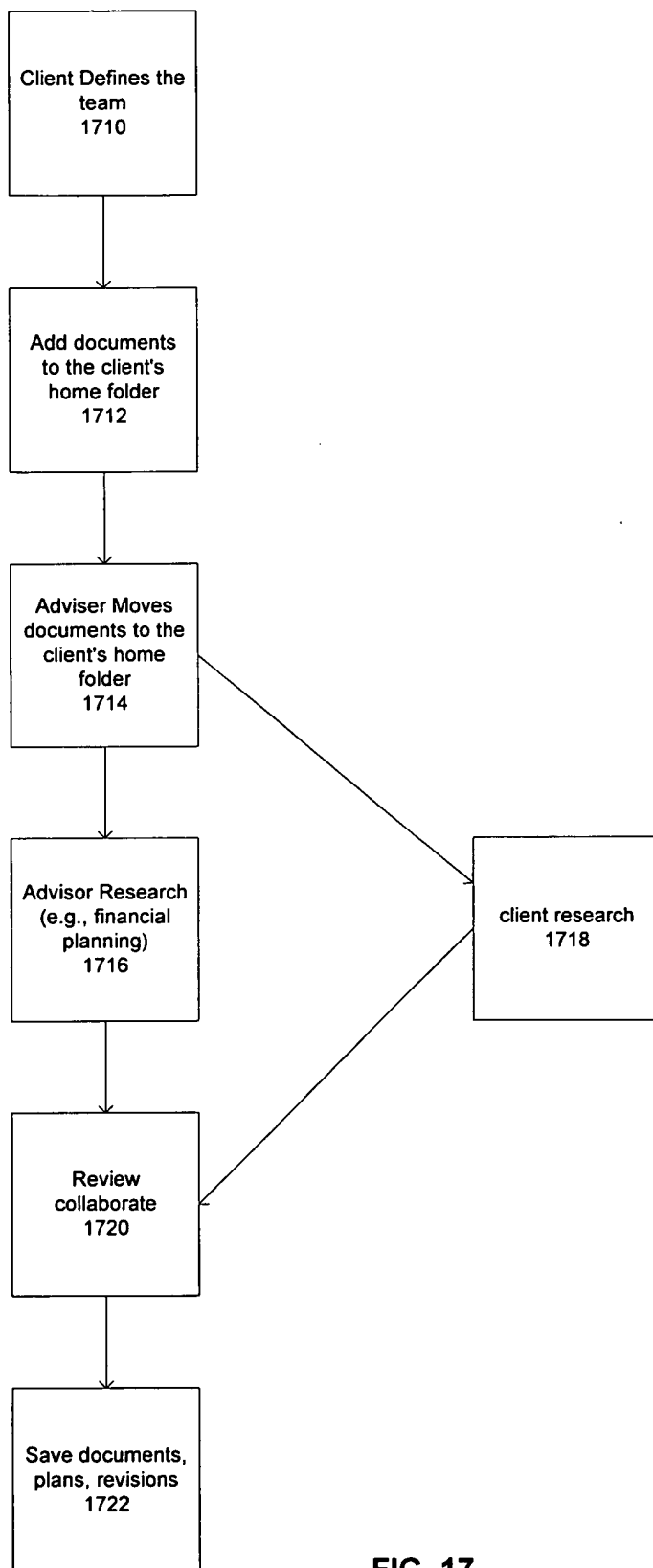


FIG. 17

109050-9267960

1800

1801 1802 1803 1804 1805 1806 1807

1850

1852(a) 1854(a) 1854(b) 1852(b)

CLIENT TOOL BOX

How It Works

My Account

My E-Forms

My Advisors

My Portfolio

My Documents

My Calendar

E-Mail

Library

Help Desk

Other Resources

Sign Off

Folders for: Rosenbloom, Brian S

My Documents <== Currently Open Folder (Contents displayed below)

Select	File Name	Version	Size	Access	Created	Last Changed	Last Changed By	Locked By
<input type="checkbox"/>	Folder 1			RWD	5/18/2001 11:14am			
<input type="checkbox"/>	test2	3	1	RWD	5/19/2001 8:58pm	5/19/2001 9:01pm	Gatto, James	
<input type="checkbox"/>	test2a	1	8	RWD	5/19/2001 9:02pm	5/19/2001 9:02pm	Gatto, James	

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Done

FIG. 18

109060-9267860

1900

Add Document HELP

Folder to add document: My Documents 1910

Select document to upload:

Files	Size

1902 Select File Remove File

Document Name: 1912

Email notification? ☒ 1989

Comments 1990

1914

FIG. 19

109060-926/360

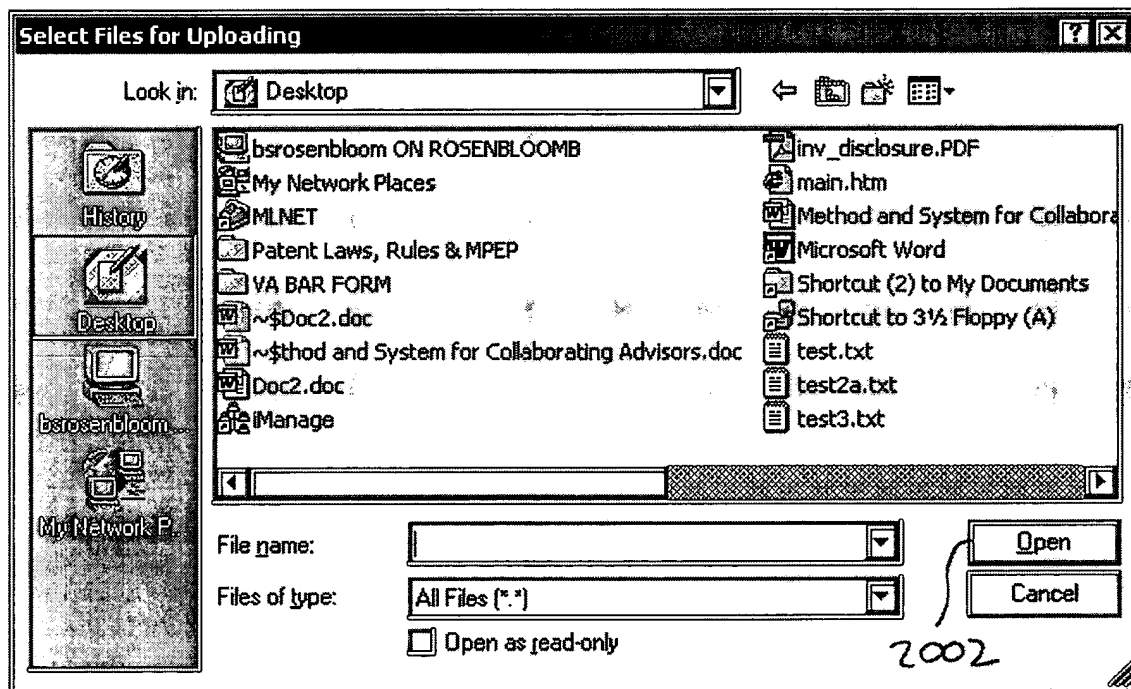


FIG. 20

105050-9267360

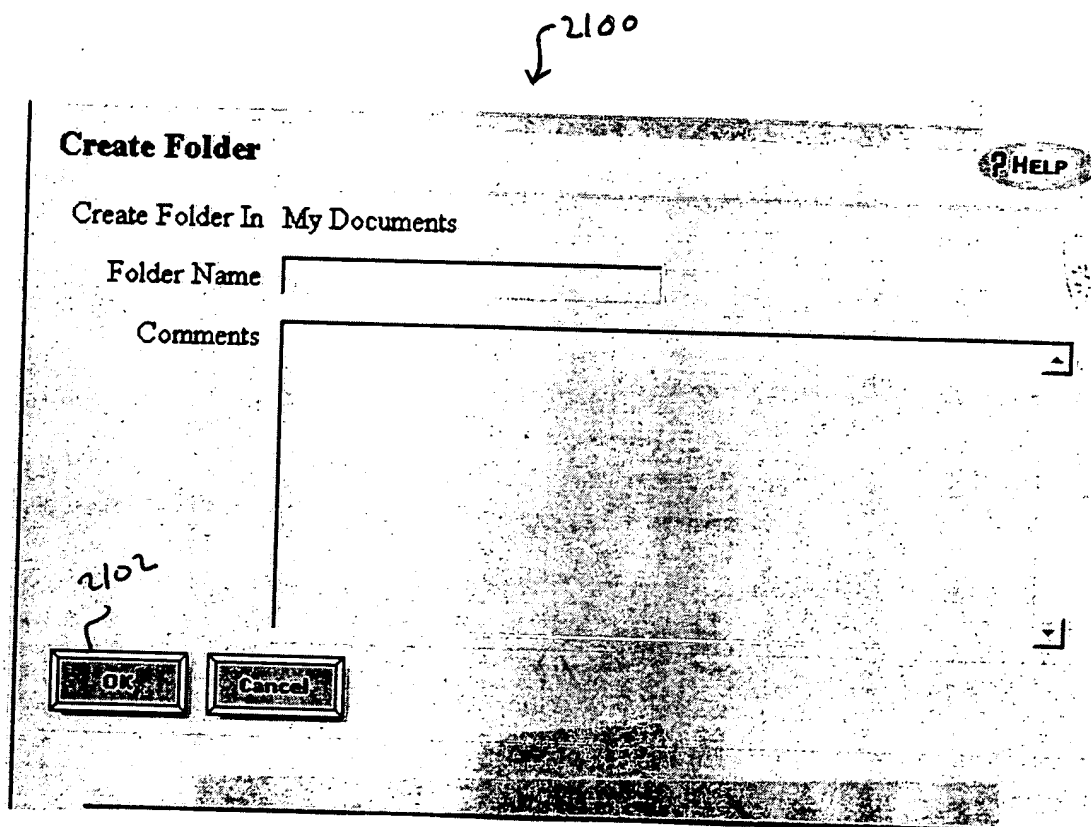


FIG. 21

105060-9267360

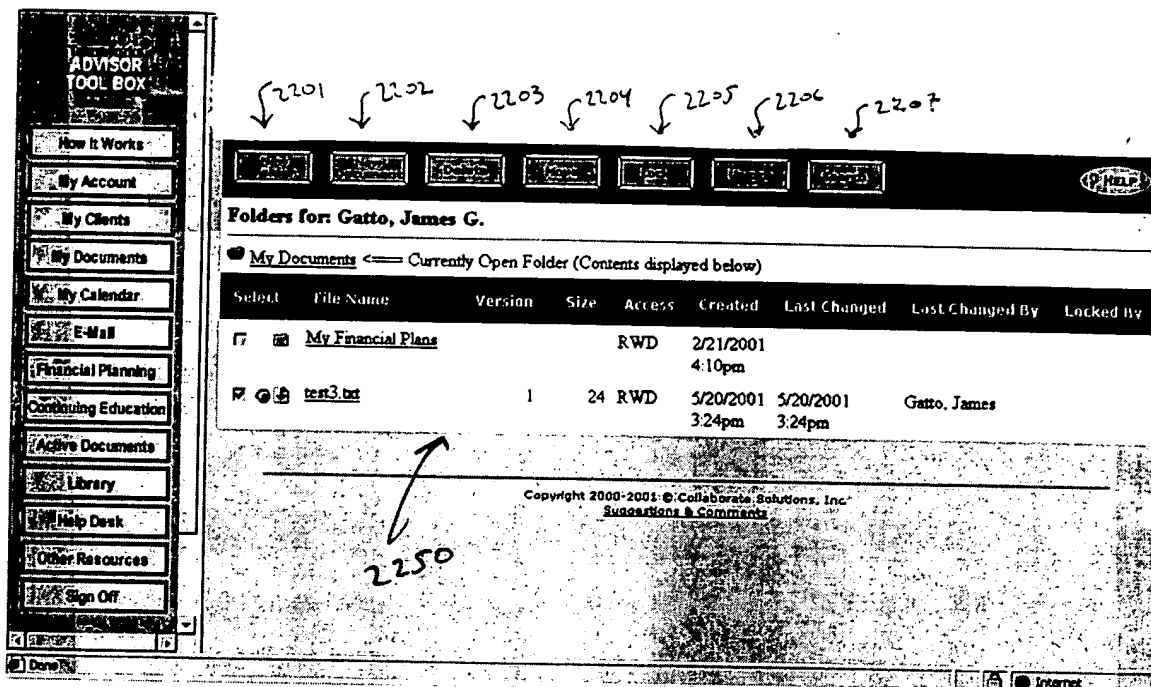


FIG. 22

2300

Move Document(s)

HELP

Remember: Make sure the document you are moving is in a format that can be viewed by the intended recipients (see Help for more).

Select a folder to move document(s) to:

- Advisor: Gatto, James (jgatto)
Folder: My Documents
Folder: My Financial Plans
- Client Kende, Michael (mkende)
Folder: My Documents
- Client MacDonald, Robert (rmac)
Folder: My Documents

2302

Move

Cancel

Done

Internet

2304

FIG. 23

109060-9267/8860

105060-9267360

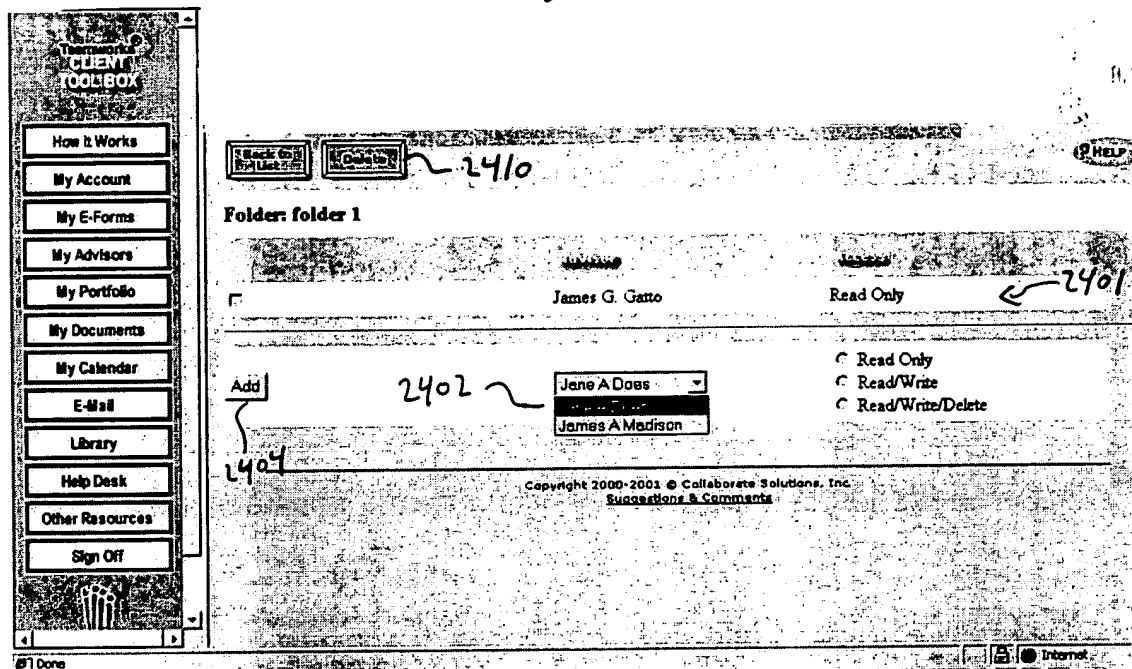


FIG. 24

109060-9267860

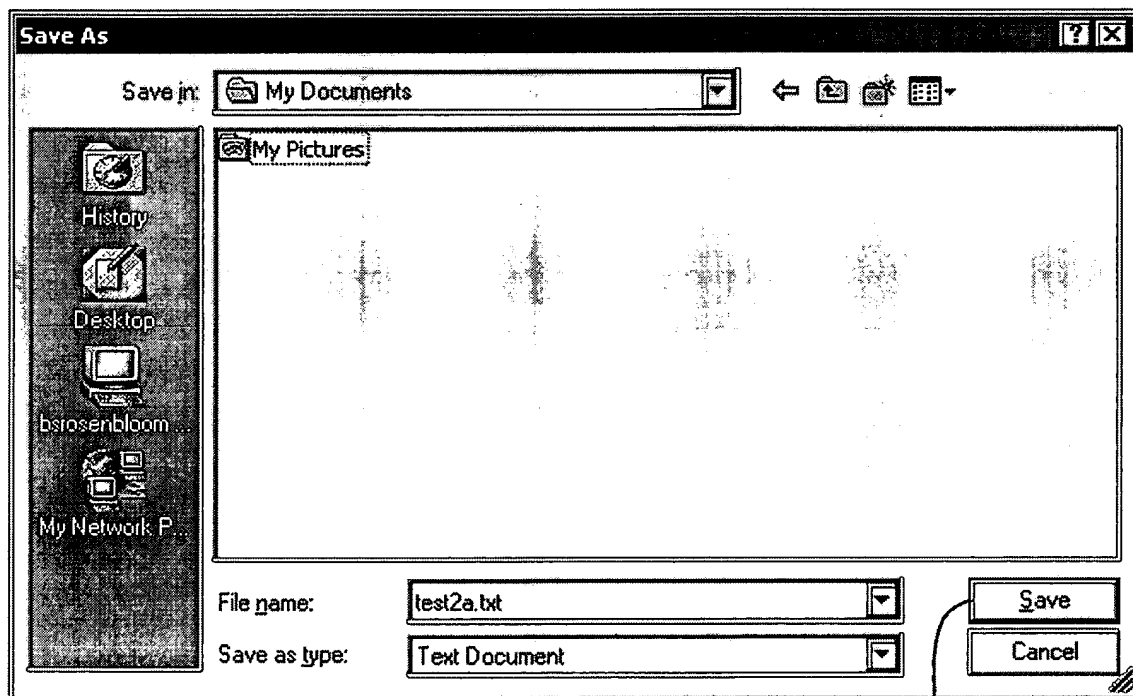


FIG. 25

109060-9267360

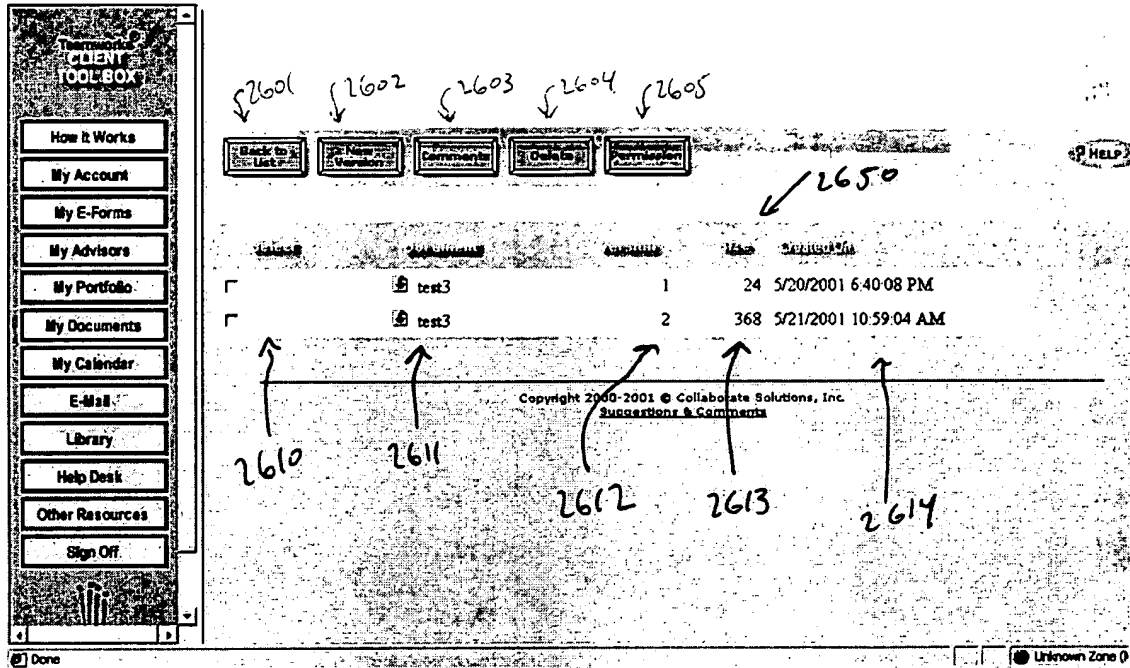


FIG. 26

109060-926/860

2700

Add Document Version

Document Name: test3

2710

Select document to upload:

Files	Size

2702

Select File Remove File

Email notification? ☒ 2715

Comments

2714

2716

OK Cancel

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Done Internet

FIG. 27

104060-9267860

2800

Document
Comments

Document Name test3

Comments

2802

Save Back

5/21/2001 10:59:04 AM	Brian S Rosenbloom	Document new version created
5/20/2001 6:40:08 PM	Brian S Rosenbloom	Document initially created

Done Internet

2810

FIG. 28

109060-9267960

How it Works

My Account

My Clients

My Documents

My Calendar

E-Mail

Financial Planning

Continuing Education

Active Documents

Library

Help Desk

Other Resources

Sign Off

2901

2902

2903

2904

2905

2906

New Folder

New Document

Delete

Move

Lock

Unlock

Search

HELP

Folders for: Rosenbloom, Brian S

Note: You are now viewing a Client area

My Documents

← Currently Open Folder (Contents displayed below)

Select	File Name	Version	Size	Access	Created	Last Changed	Last Changed by	Locked by
<input type="checkbox"/>	Folder 1			R	5/18/2001 11:14am			
<input type="checkbox"/>	test2	3	1	RWD	5/19/2001 8:58pm	5/19/2001 9:01pm	Gatto, James	Rosenbloom, Brian
<input type="checkbox"/>	test2a	1	8	RWD	5/19/2001 9:02pm	5/19/2001 9:02pm	Gatto, James	Rosenbloom, Brian
<input checked="" type="checkbox"/>	test3	4	367	RWD	5/20/2001 6:40pm	5/21/2001 3:16pm	Gatto, James	

2952

2954

2950

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Done

Internet

FIG. 29

109060-9267960

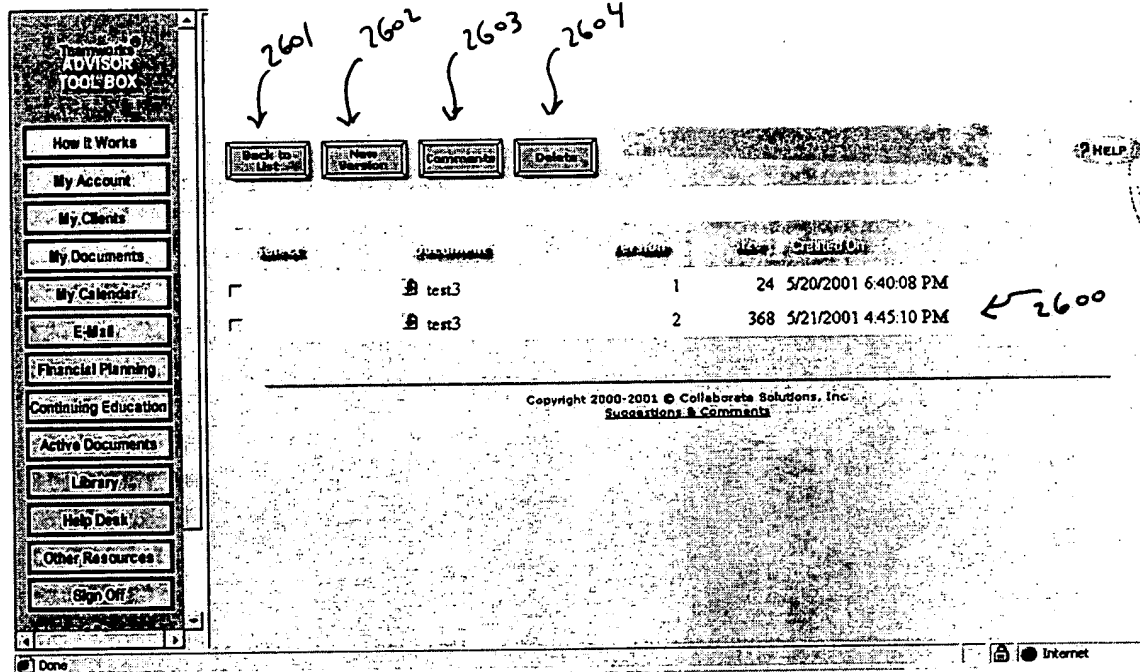


FIG. 30

105050-926/860

3102 3104 3106 3100

CLIENT TOOL BOX

How It Works
My Account
My E-Forms
My Advisors
My Portfolio
My Documents
My Calendar
E-Mail
Library
Help Desk
Other Resources
Sign Off

Update History Update My Login ID HELP

My Account Information

Name	Brian	S	Rosenbloom
Email	brian@mail.com		
Password			
Confirm Password			
Address			
City			
State			
Postal Code			

Done Internet

FIG. 31

3200

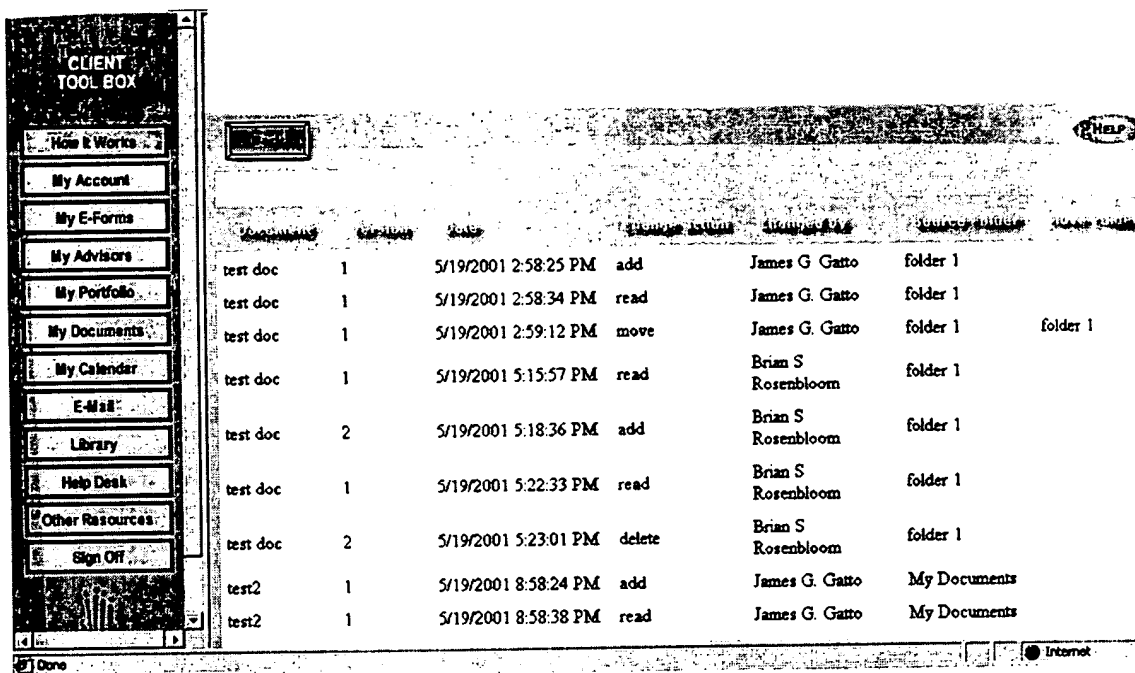


FIG. 32

109060-926/960

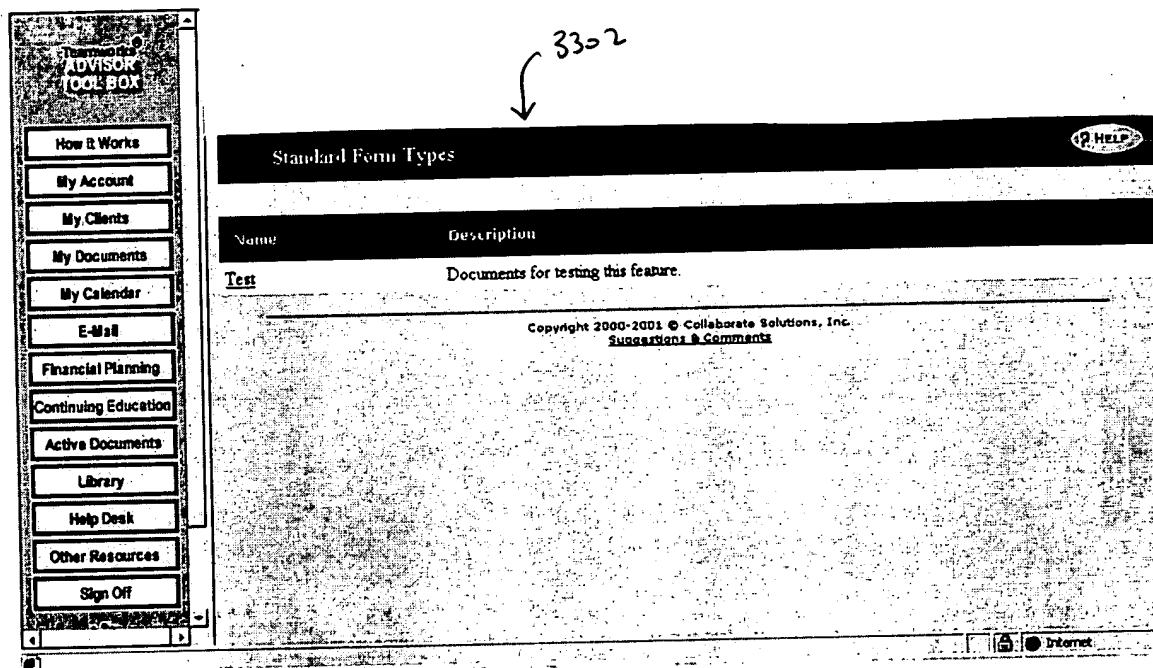


FIG. 33

10960-926/960

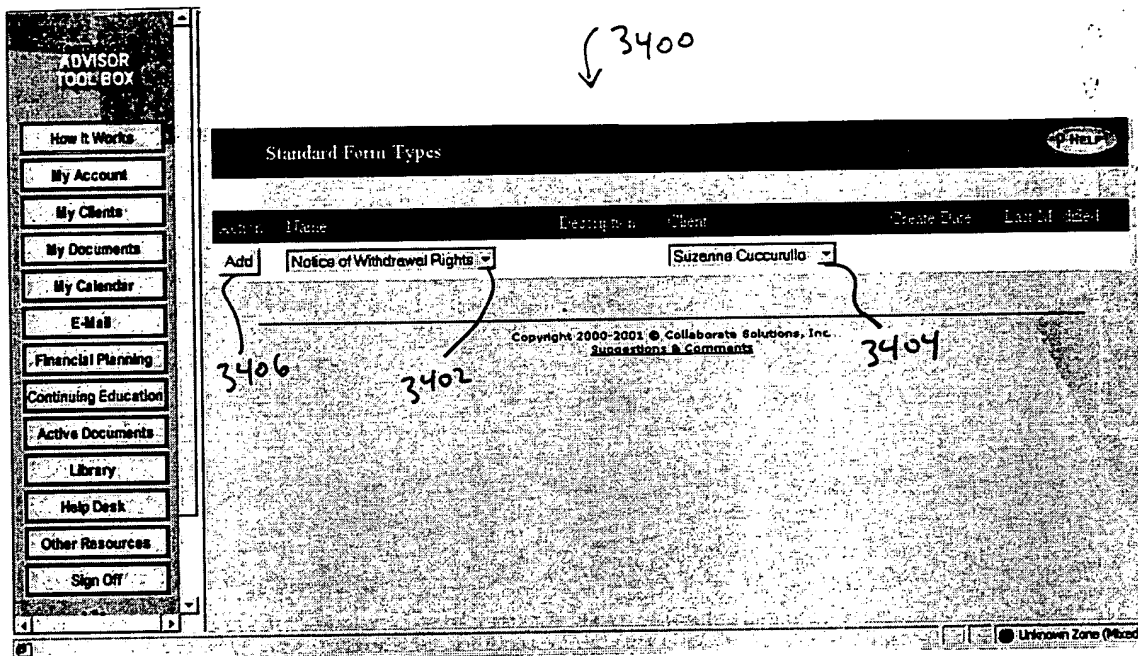


FIG. 34

109060 926 090601

CLIENT TOOLBOX

- How It Works
- My Account
- My E-Forms
- My Advisors
- My Portfolio
- My Documents
- My Calendar
- E-Mail
- Library
- Help Desk
- Other Resources
- Sign Off

My Forms

ID	Name	Description	Author	Create Date	Last Modified
3503	Notice of Withdrawal Rights	Notice of Withdrawal Rights	James G. Gatto	5/22/2001 12:52:36 PM	5/22/2001 12:52:36 PM

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3502 3501 3504 3505 3506 3507

Done Unknown Zero 0%

FIG. 35

SECRET

3602

FIG. 36

105050-9267860

CLIENT TOOL BOX

- How It Works
- My Account
- My E-Forms
- My Advisors
- My Portfolio
- My Documents
- My Calendar
- My E-Mail
- Library
- Help Desk
- Other Resources
- Sign Off

My Forms

Action	Name	Description	Author	Create Date	Last Modified
Delete Done	Notice of Withdrawal Rights	Notice of Withdrawal Rights	James G. Gatto	5/22/2001 12:52:36 PM	5/22/2001 1:12:20 PM

3702

3503

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Done

Unknown Zone (Mbz)

FIG. 37

3400

ADVISOR TOOL BOX

- How It Works
- My Account
- My Clients
- My Documents
- My Calendar
- E-Mail
- Financial Planning
- Continuing Education
- Active Documents
- Library
- Help Desk
- Other Resources
- Sign Off

Standard Form Types

12 HELP

Action	Name	Description	Client	Create Date	Last Modified
Delete	3801	Notice of Withdrawal Rights	Brian S Rosenbloom	5/22/2001 12:52:36 PM	5/22/2001 1:15:20 PM
Edit					
File	3802				
Add	Notice of Withdrawal Rights		Suzanne Cuccurullo		

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Done Internet

FIG. 38

Additional Modules 3901

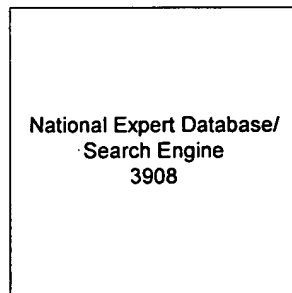
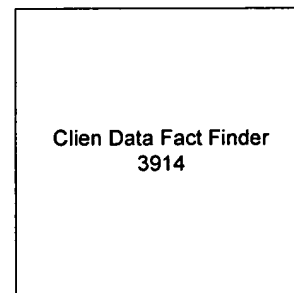
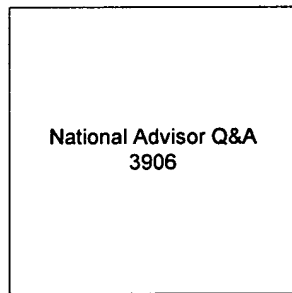
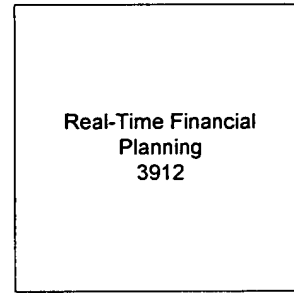
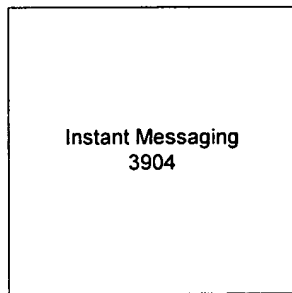
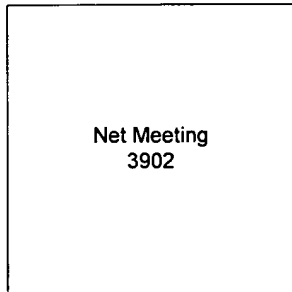
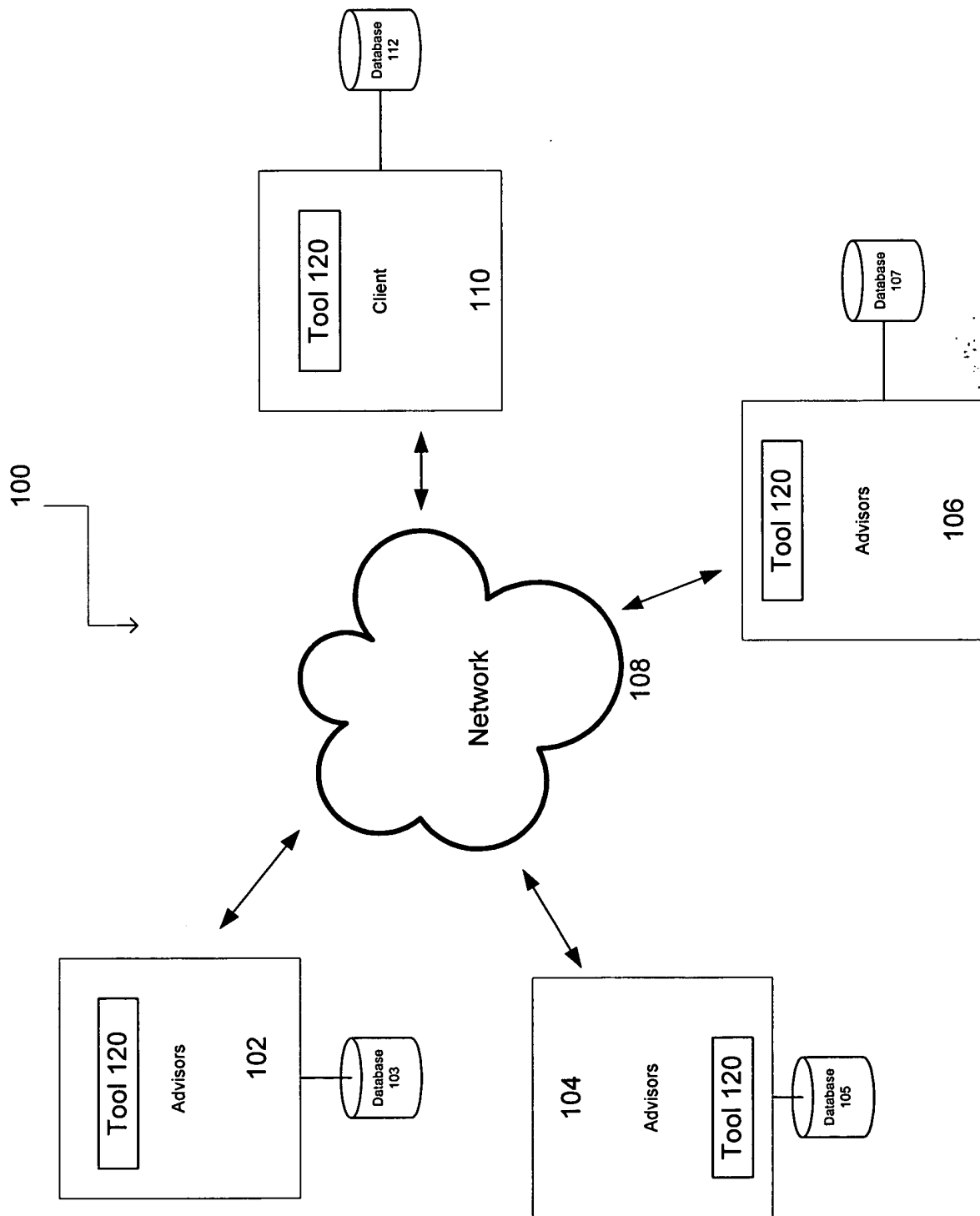


FIG. 39



109060-9267/860

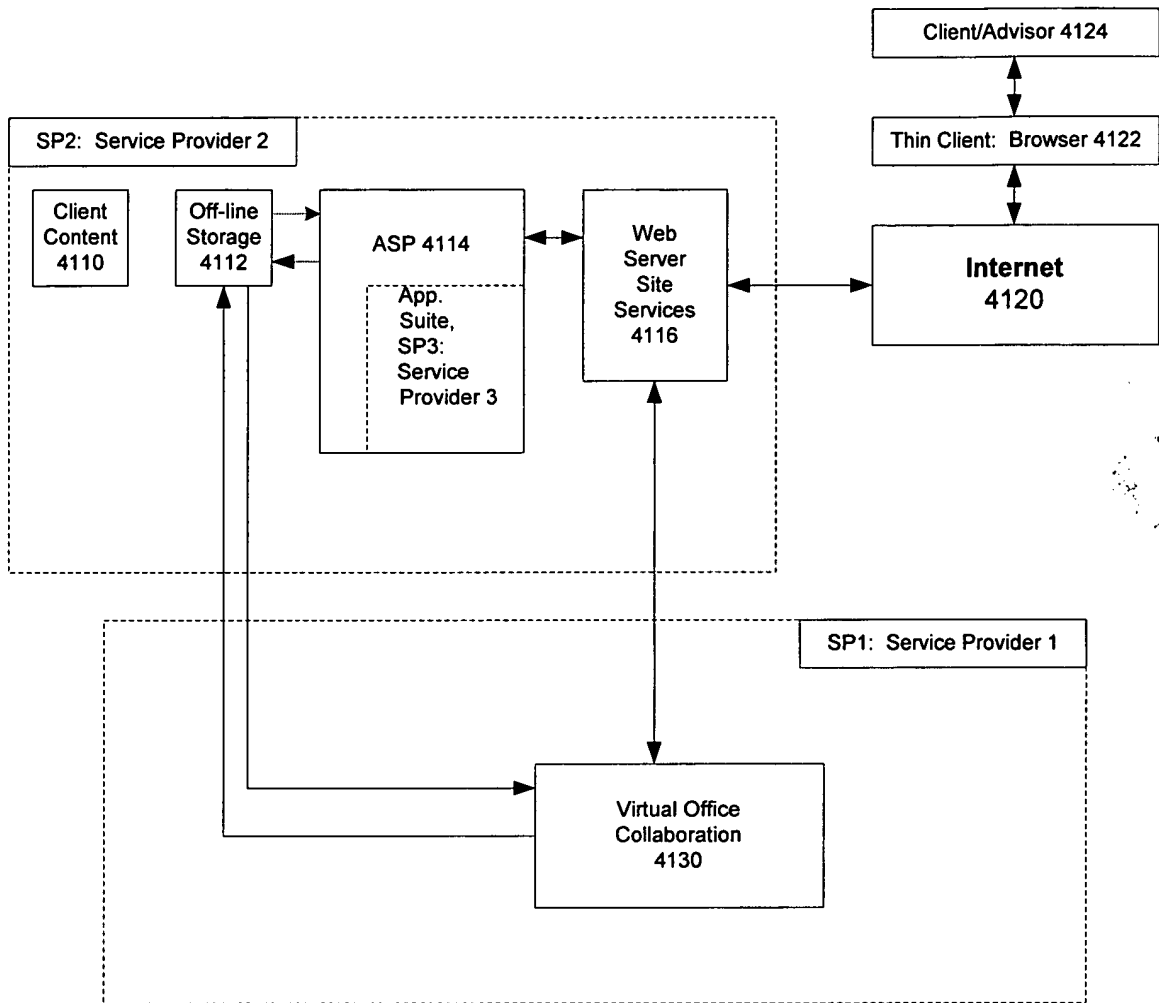


FIG. 41

109050-92677860

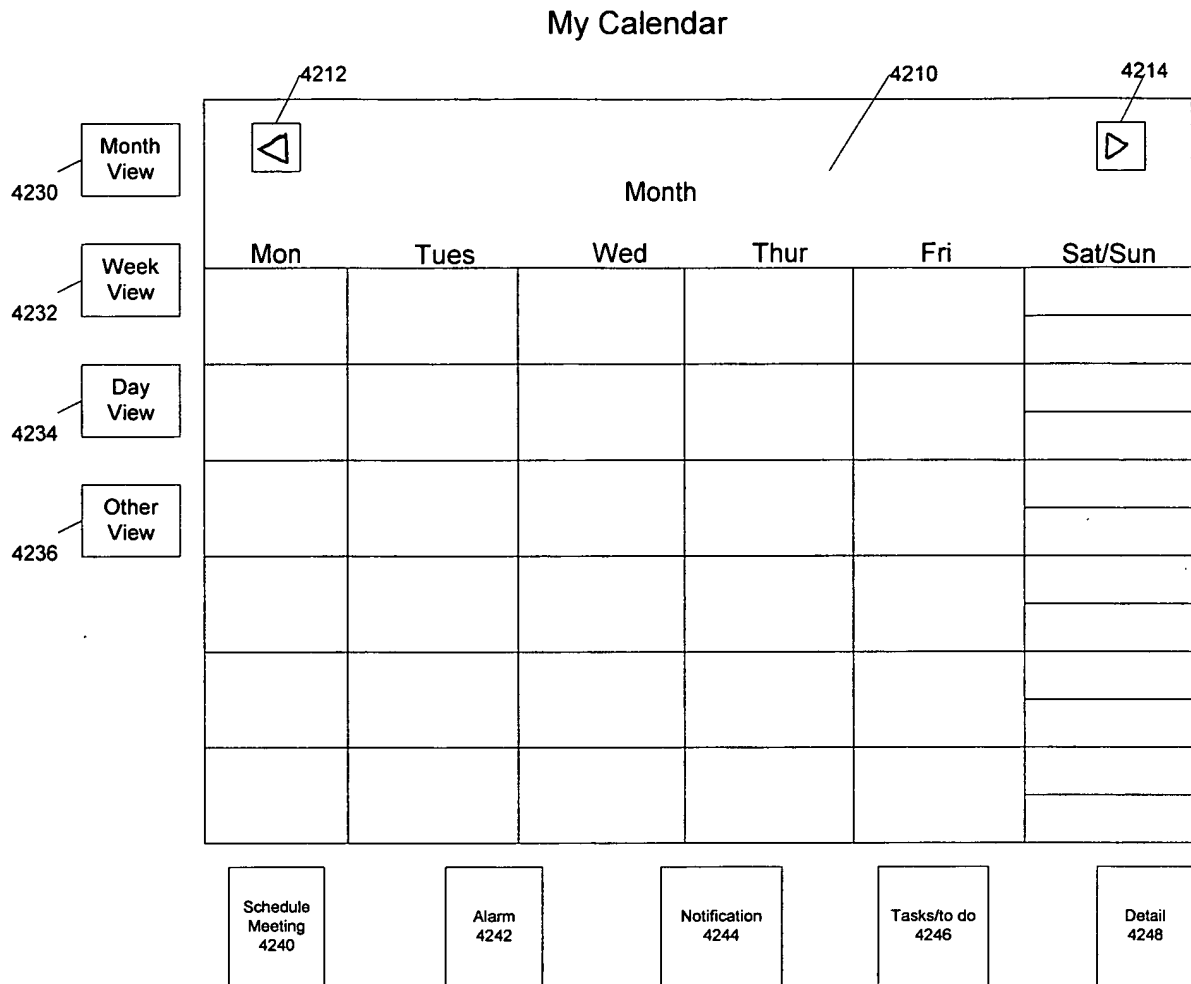


FIG. 42

109060-92678601

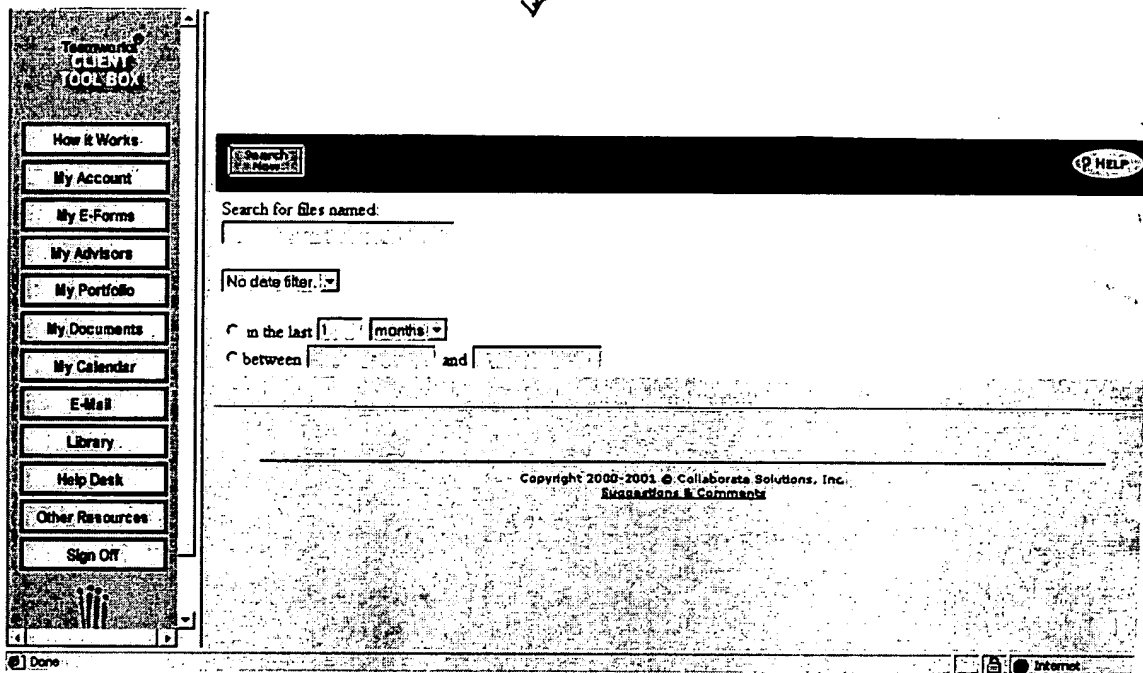


FIG. 43